



*Jfw*

# AMENDMENT TRANSMITTAL LETTER

Docket No.  
**FIS920040064US1**  
Conf #5315

Application No.  
**10/711,316**

Filing Date  
**09/10/2004**

Examiner  
**Christopher H. Bond**

Art Unit  
**3714**

Applicant(s): **Edward E. Kelley et al.**

Invention: **SYSTEM AND METHOD FOR PREDICTING RESULTS OF CHANCE EVENTS OVER A USER-SELECTED NUMBER OF EVENTS**

## TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

| CLAIMS AS AMENDED  |                                  |                                |                             |        |        |
|--|----------------------------------|--------------------------------|-----------------------------|--------|--------|
|  | Claims Remaining After Amendment | Highest Number Previously Paid | Number Extra Claims Present | Rate   |        |
| Total Claims   | 17                               | - 20                           | 0                           |        |        |
| Independent Claims   | 4                                | - 3                            | 0                           | 200 00 | 200 00 |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/> |                                  |                                |                             |        |        |
| Other fee (please specify):  |                                  |                                |                             |        |        |
| TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:                                 |                                  |                                |                             |        | 200 00 |

☒ Large Entity

☐ Small Entity

☐ No additional fee is required for this amendment.

☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_  
A duplicate copy of this sheet is enclosed.

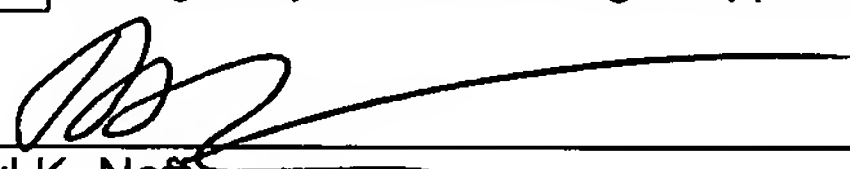
☐ A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☒ The Director is hereby authorized to charge and credit Deposit Account No. **09-0458**  
as described below. A duplicate copy of this sheet is enclosed.

☒ Credit any overpayment.

☒ Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.

  
Daryl K. Neff  
Attorney Reg. No.: 38,253

Dated: November 19, 2007

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: MS Amendment, Commissioner for Patents, Alexandria, VA 22313-1450.

Dated: November 19, 2007

Signature: 

(Daryl K. Neff)



**Please Acknowledge & Return**

Date Mailed November 19, 2007

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First Class Cert. Of Mailing \_\_\_\_\_

Docket No. FIS920040064US1

Filing Date 09/10/2004 SN 10/711,316

Inventors Edward E. Kelley et al.

Title System and Method for Predicting Results of  
Chance Events Over a User-Selected Number of Events

Atty \_\_\_\_\_ # of Pages \_\_\_\_\_

\_\_\_\_\_ Application \_\_\_\_\_ Transmittal Sheet

\_\_\_\_\_ Assignment \_\_\_\_\_ Spec/Claims/Abstract

No. of Claims \_\_\_\_\_ Drawings

\_\_\_\_\_ Declaration/POA

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\_\_\_\_\_ PCT Application \_\_\_\_\_ Transmittal

\_\_\_\_\_ PCT Request

\_\_\_\_\_ Fee Sheet

\_\_\_\_\_ Power of Attorney

\_\_\_\_\_ Description

\_\_\_\_\_ Abstract

\_\_\_\_\_ Claims

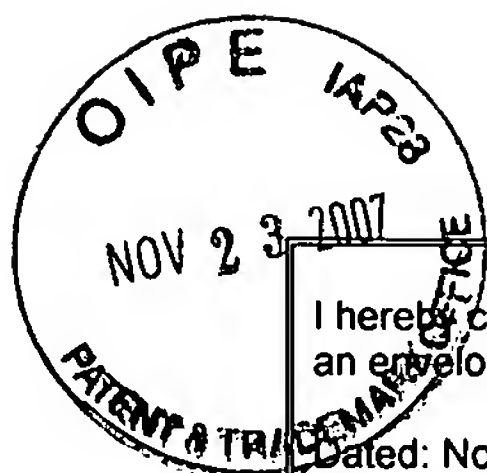
\_\_\_\_\_ Drawings

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\_\_\_\_\_ IDS - # of pages \_\_\_\_\_ #of references \_\_\_\_\_

✓ \_\_\_\_\_ Amendment - # of pages 12

\_\_\_\_\_ Issue Fee - # of pages \_\_\_\_\_

✓ \_\_\_\_\_ Other Transmittal



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Dated: November 19, 2007

Signature  (Daryl K. Neff)

| IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  |  |
|---|--|
| In re application of:<br><b>Edward E. Kelley et al.</b>   | Date: <b>November 19, 2007</b>   |
| Serial Number: <b>10/711,316</b>  | Examiner: <b>Christopher H. Bond</b>   |
| Filed: <b>September 10, 2004</b>  | Group Art Unit: <b>3714</b>  |
|   | <b>Conf. #5315</b>   |
| Title: <b>SYSTEM AND METHOD FOR<br/>PREDICTING RESULTS OF CHANCE<br/>EVENTS OVER A USER-SELECTED<br/>NUMBER OF EVENTS</b> | Kerry B. Goodwin<br>IBM Corporation<br>D/18G, B/321, Zip 482<br>2070 Route 52<br>Hopewell Junction, NY 12533 |

### AMENDMENT

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action dated August 17, 2007, applicants submit the following amendments and remarks for the Examiner's consideration.

11/23/2007 HDESTA1 00000089 090458 10711316

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